

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER RULE 53(f)

re PATENT APPLICATION of  
Inventor **Catherine Guenther**

Appln. No.: **09/895,840**

Filed: **June 28, 2001**

Title: **Transgenic Mice Containing ROR $\gamma$  Gene  
Disruptions**

Order/Docket No. **R-409**  
Deposit Account No. **50-1271**  
Customer No. **26619**

Date: **January 29, 2002**

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

The following **completes the filing** under Rule 53(f) of the above-identified patent application:

1. ☒ Enclosed are Substitute Drawings, Figures 1-6, in Compliance with 37 CFR 1.84.

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

7. Basic Filing Fee.....					\$370.	\$ 0	201
8. Total Claims	58	minus 20 =	58	x	9.	+ 0	203
9. Independent Claims	16	minus 3 =	16	x	42.	+ 0	202
10. Multiple Dependent Claims					140.	+ 0	
11. Surcharge for filing Declaration/filing fee late					65.	+ 0	205
12.	FILING FEE: <input type="checkbox"/> CHARGE AUTHORIZATION <input type="checkbox"/> ENCLOSED = \$						
13. Original due date: <b>October 30, 2001</b>							
14. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.					\$ 55. / 200. 460. / 720.	\$460.00	
15. If Assignment is included, add recording fee.....					\$ 40.	+ 40.00	581
16.	TOTAL FEE: <input checked="" type="checkbox"/> CHARGE AUTHORIZATION: =					\$460	

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

**DELTAGEN, INC.**

740 Bay Road  
Redwood City, CA 94063  
Tel: (650) 569-5168  
Fax: (650) 569-5568

  
Mariette A. Lapiz, Reg. No. 44,202

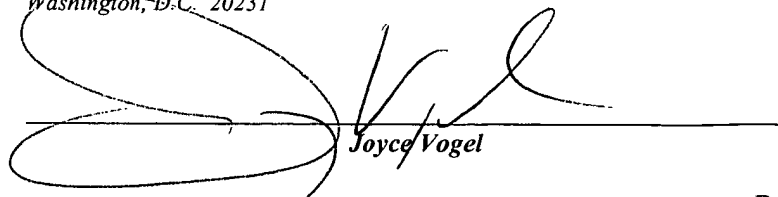
Express Mail Label:  
Date of Deposit:

EV 007607798 US  
Date January 29, 2002

I certify that this paper and listed enclosures are being deposited with the U.S. Post Office "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the above date, addressed to Commissioner for Patents, BOX AMENDMENT, Washington, D.C. 20231

02/05/2002 CCHAU1 00000128 501271 09895840

01 FC:217 460.00 CH

  
Joyce Vogel

R-409

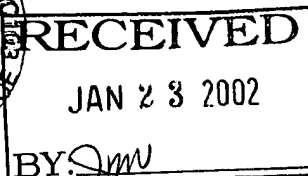
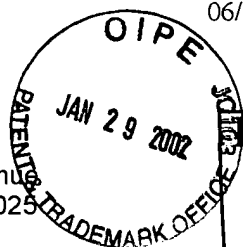


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/895,840	06/28/2001	Catherine Guenther	R-409

DELTAGEN, INC.  
1003 Hamilton Avenue  
Menlo Park, CA 94025



DUE: 01/30/02 @ 3rd extension  
FNL: 02/28/02

CONFIRMATION NO. 4993

FORMALITIES LETTER



\*OC00000007297974\*

Date Mailed: 01/11/2002

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

## Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 10/24/2001 to the Notice to File Missing Parts (Notice) mailed 08/30/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2006270-04355860

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)**

---

*A copy of this notice **MUST** be returned with the reply.*




---

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

206270-012902